

SECTOR/8  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>In Re Application of:</b>	Charles W. KERBER et al.
<b>Application No.:</b>	09/151,621
<b>Filed:</b>	September 11, 1998
<b>For:</b>	COMPOSITION FOR CREATING VASCULAR OCCLUSIONS
<b>Examiner:</b>	(Not yet assigned)
<b>Group Art Unit:</b>	1711

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Docket No.: P52.2-7161

**TRANSMITTAL LETTER**

1. In regard to the above-identified application, we are submitting the attached:  
**2 pg. Response to Notice of Missing Parts; 1 pg. Copy of Notice of Missing Parts (Form PTO-1533); 3 pg. Declaration; 1 pg. Power of Attorney; 1 pg. Verified Statement Claiming Small Entity Status; Check for \$445.00; VA&S Transmittal Letter; and Postcard.**
2. With respect to fees:
  - ☐ No additional fee is required.
  - ☒ Attached is check(s) in the amount of \$ 445.00.
  - ☐ Charge additional fee to our Deposit Account No. 22-0350.

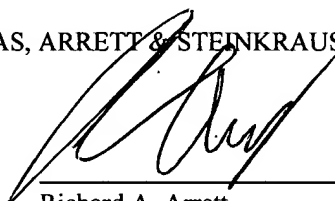
**CONDITIONAL PETITION AND FEE FOR EXTENSION OF TIME**

3. This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time. If any extension of time for the accompanying response is required, Applicant requests that this be considered a petition therefor.
4. Please charge any additional fees or credit overpayment associated with this communication to Deposit Account No. 22-0350.

VIDAS, ARRETT & STEINKRAUS

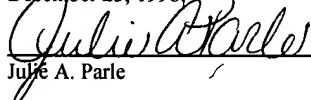
Date: December 23, 1998

By:

  
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**Certificate Under 37 CFR 1.8:** I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231, on December 23, 1998.

  
Julie A. Parle



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/141,601	09/11/98	KPALL	R PE2.2-7167

0232/0929

VIDAS ARRETT & STEINKRAUS  
6109 BLUE CIRCLE DRIVE  
SUITE 2000  
MINNETONKA MN 55343-9131

NOT ASSIGNED

1711

DATE MAILED:

09/22/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 4400.00

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$ 395.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.
- \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- \$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01/05/1999 RUCBXT 00009132 220350 0915121  
01 FC:201 395.00 09  
02 FC:203 50.00 09  
15.00 01